

## GUOJIN GONG

School of Business  
University of Connecticut  
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### ACADEMIC POSITIONS

University of Connecticut

Deloitte Foundation Faculty Fellow, August 2021—Present

Associate Professor of Accounting (with tenure), August 2020—Present

Pennsylvania State University

Associate Professor of Accounting (with tenure), July 2012—June 2020

Assistant Professor of Accounting, August 2005—June 2012

National University of Singapore (Singapore)

Visiting Associate Professor of Accounting, August 2014—February 2015

Shanghai University of Finance and Economics (China)

Visiting Associate Professor of Accounting, March—May 2015

Special-term Professor of Accounting, 2016—2018

Tsinghua University (China)

Visiting Associate Professor of Accounting, June 2015

University of Iowa

Instructor of Accounting, August 2001—May 2004

### EDUCATION

Ph.D. in Accounting (Minor: Finance)

University of Iowa, Henry B. Tippie College of Business, 2005

M.A. in Economics

Washington University in St. Louis, 2000

B.A. in Economics

Peking University (China), School of Economics, 1997

### RESEARCH

#### REFEREED PUBLICATIONS

- “Short interest and corporate investments: Evidence from business partners” with Xia Chen and Shuqing Luo. *Contemporary Accounting Research* 39:2 (2022) p. 1455-1508.

- “CEO employment contract horizon and financial reporting discretion” with Juan Wang and Hyun Jung Lee. *Journal of Management Accounting Research* 33:2 (2021) p.75-108.
- “Earnings forecasts and price efficiency after earnings realizations: reduction in information asymmetry through learning from price” with Hong Qu and Ian Tarrant. *Contemporary Accounting Research* 38:1 (2021) p. 654-675.
- “Relative performance evaluation and the timing of earnings release” with Laura Li and Huifang Yin. *Journal of Accounting and Economics* 67:2 (2019) p. 358-386.
- “Lenders’ experience with borrowers’ major customers and the debt contracting demand for accounting conservatism” with Shuqing Luo. *The Accounting Review* 93:5 (2018) p.187-222.
- “Inside debt and the design of corporate debt contracts” with Divya Anantharaman and Vivian Fang. *Management Science* 60:5 (2014) p.1260-1280.
  - Best Paper Award at the 2011 AAA Mid-Atlantic Region Meeting
- “Earnings non-synchronicity and voluntary disclosure” with Laura Li and Ling Zhou. *Contemporary Accounting Research* 30:4 (2013) p.1560-1589.
- “Home country investor protection, ownership structure and cross-listed firms’ compliance with SOX-mandated internal control deficiency disclosures” with Bin Ke and Yong Yu. *Contemporary Accounting Research* 30:4 (2013) p.1490-1523.
- “Relative performance evaluation and related peer groups in executive compensation contracts” with Laura Li and Jae Yong Shin. *The Accounting Review* 86:3 (2011) p.1007–1043.
  - Outstanding Paper Award at the 2010 AAA Management Accounting Section Midyear Meeting
- “Serial correlation in management earnings forecast errors” with Laura Li and Jeff Wang. *Journal of Accounting Research* 49:3 (2011) p.677-720.
- “The association between management earnings forecasts errors and accruals” with Laura Li and Hong Xie. *The Accounting Review* 84:2 (2009) p.497–530.
- “Corporate governance and backdating of executive stock options” with Daniel Collins and Haidan Li. *Contemporary Accounting Research* 26:2 (2009) p.403–445.
- “Earnings management, lawsuits, and stock-for-stock acquirers’ market performance” with Henock Louis and Amy Sun. *Journal of Accounting and Economics* 46:1 (2008) p.62–77.
- “Earnings management and firm performance following open-market repurchase” with Henock Louis and Amy Sun. *Journal of Finance* 63:2 (2008) p.947–986.

- “Investor sophistication and the mispricing of accruals” with Daniel Collins and Paul Hribar. *Review of Accounting Studies* 8:2-3 (2003) p.251–276.

#### WORKING PAPERS

- “Corporate site visits and firm investment efficiency” with Sean Cao, Hanzhong Shi, and Yongtae Kim. Revise & Resubmit at *Management Science*.
- “Cash flows as performance measures and the pricing of bank loans” with Biqin Xie and Daniel X. Jiang. Revise & Resubmit at *Contemporary Accounting Research*.
- “The effect of lead lenders’ equity holding on co-lead syndicate structure” with Shuqing Luo and Qiong Wu.
- “Voluntary disclosure and liquidity provision by individual investors: Evidence from fire sales by mutual funds” With Mei Feng, Yuan Xie, and Yue Zhang.
- "Setting relative performance targets in executive compensation contracts" with Laura Li and Huifang Yin.

#### ACADEMIC PRESENTATIONS

- 2022 Chinese University of Hong Kong  
Beijing Institute of Technology (China)
- 2021 University of Minnesota  
Shanghai University of Finance and Economics (China)
- 2020 University of Connecticut
- 2019 Baruch College  
New York University  
Beijing Institute of Technology (China)  
MIT Asian Conference in Accounting
- 2018 Santa Clara University
- 2017 Nanjing Audit University (China)  
American Accounting Association Annual Meeting
- 2016 Georgia State University  
Ohio State University  
Beijing Institute of Technology (China)  
Beijing Technology and Business University (China)  
University of Iowa Sidney Winter Lecture Series  
Management Accounting Section Midyear Meeting (Discussant)
- 2015 HongKong Polytechnic University  
Huazhong University of Science and Technology (China)  
Peking University (China)  
Tsinghua University (China)  
University of International Business and Economics (China)  
*China Accounting and Finance Review (CAFR)* and *Journal of Accounting, Auditing and Finance (JAAF)* Joint Conference (Discussant)

- Overseas Chinese Accounting Scholars Symposium (hosted by National Taiwan University and National Chengchi University)  
Tsinghua University International Corporate Governance Conference  
University of Alberta Accounting Research Conference
- 2014 University of Texas at Austin  
National University of Singapore  
Singapore Management University  
SMU-SOAR Accounting Symposium (Discussant)
- 2013 Central University of Finance and Economics (China)  
Hong Kong University  
Peking University (China)  
Tsinghua University (China)  
HongKong Polytechnic University Conference on Executive Compensation (Discussant)  
American Accounting Association Annual Meeting (Discussant)
- 2011 National University of Singapore  
Binghamton University (SUNY)  
Syracuse University  
University of Toronto  
American Accounting Association Annual Meeting
- 2010 Michigan State University  
Northwestern University  
University of Southern California  
University of Kentucky  
Vanderbilt University  
Financial Accounting and Reporting Section Midyear Meeting  
American Accounting Association Annual Meeting
- 2009 London Business School Accounting Symposium (Discussant)  
Chinese Accounting Professors' Association of North America (CAPANA) Conference  
American Accounting Association Annual Meeting
- 2008 Financial Economics and Accounting Annual Conference (hosted by University of Texas at Austin)  
American Accounting Association Annual Meeting  
Financial Accounting and Reporting Section Midyear Meeting
- 2007 Financial Economics and Accounting Annual Conference (hosted by New York University)  
American Accounting Association Annual Meeting
- 2006 Pennsylvania State University Accounting Research Conference  
American Accounting Association Annual Meeting.
- 2005 Drexel University  
Emory University  
Notre Dame University  
Pennsylvania State University  
University of California–Los Angeles  
University of Connecticut  
University of Illinois at Urbana-Champaign  
University of Minnesota

CONFERENCE PARTICIPATION (INVITED ONLY)

- 2022 *Contemporary Accounting Research* Annual Conference  
 Yale Summer Accounting Conference  
 Chinese Accounting Professors' Association of North America Annual Conference
- 2021 *Contemporary Accounting Research* Annual Conference  
 Yale Summer Accounting Conference  
 Baruch/Fordham/Rutgers Virtual Accounting Conference  
 Chinese Accounting Professors' Association of North America Annual Conference
- 2020 Yale Summer Accounting Conference
- 2018 Chinese Accounting Professors' Association of North America Annual Conference
- 2017 University of Alberta Accounting Research Conference  
 Chinese Accounting Professors' Association of North America Annual Conference  
 New Institutional Accounting Research Conference (hosted by Shanghai University of Finance and Economics, China)
- 2016 International Symposium on Accounting Research in China Annual Conference (hosted by Dongbei University of Finance and Economics, China)  
 University of Iowa Sidney Winter Lecture Series  
 KPMG National Faculty Symposium
- 2015 Asian Bureau of Finance and Economic Research Annual Meeting, Singapore  
*China Accounting and Finance Review* (CAFR) and *Journal of Accounting, Auditing and Finance* (JAAF) Joint Conference  
 Oversea Chinese Accounting Scholars Symposium (hosted by National Taiwan University and National Chengchi University)  
 Tsinghua University International Corporate Governance Conference  
 University of Alberta Sixth Accounting Research Conference
- 2014 CGIO Academic Conference on Business Groups (hosted by National University of Singapore)  
*Review of Accounting Studies* Annual Conference (hosted by University of British Columbia)  
 SMU-SOAR Accounting Symposium (hosted by Singapore Management University)
- 2013 Washington University in St. Louis Nick Dopuch Accounting Research Conference  
 Hong Kong Polytechnic University Conference on Executive Compensation  
 George Washington University Accounting Research Conference
- 2012 Washington University in St. Louis Nick Dopuch Accounting Research Conference
- 2011 University of Iowa Sidney Winter Lecture Series
- 2010 Chinese Accounting Professors' Association of North America Annual Conference  
 University of Toronto Fourth Annual Accounting Research Conference
- 2009 *Contemporary Accounting Research* Annual Conference (Montreal, Canada)  
 Chinese Accounting Professors' Association of North America Annual Conference  
 London Business School Accounting Symposium  
*Review of Accounting Studies* Annual Conference (hosted by Tilburg University)  
 University of Toronto Third Annual Accounting Research Conference  
 Washington University in St. Louis Nick Dopuch Accounting Research Conference
- 2008 AAA/Deloitte Foundation TrueBlood Seminar for Professors  
 Chinese Accounting Professors' Association of North America Annual Conference

- PricewaterhouseCoopers University for Faculty
- 2007 *Contemporary Accounting Research* Annual Conference (Montreal, Canada)  
FASB Faculty Consortium  
University of Delaware Symposium on Backdating Options
- 2006 American Accounting Association New Faculty Consortium  
University of Iowa Sidney Winter Lecture Series
- 2005 Pennsylvania State University Accounting Research Conference
- 2003 *Journal of Accounting and Economics* Annual Conference (hosted by Northwestern University)
- 2002 American Accounting Association Doctoral Consortium, Tahoe City  
*Review of Accounting Studies* Annual Conference (hosted by University of Michigan)
- 2001 Big10+ Doctoral Consortium (hosted by University of Michigan)

### TEACHING EXPERIENCE

#### University of Connecticut

- ACCTG 3202 Intermediate Financial Accounting II, Undergraduate-Level, 2022-current  
ACCTG 3201 Intermediate Financial Accounting I, Undergraduate-Level, 2020-current  
ACCTG 2001 Principles of Financial Accounting, Undergraduate-Level, 2020-current

#### Pennsylvania State University

- ACCTG 471 Intermediate Financial Accounting, Undergraduate-Level, 2005–2020  
ACCTG 597 Research Seminar, Doctoral-Level, 2019–2020  
ACCTG 590 Research Methodology, Doctoral-Level, 2009–2010

#### National University of Singapore

- BAA 6001 Empirical Research in Accounting I, Doctoral-Level, Fall 2014

#### University of Iowa

- 6A: 001 Introductory Financial Accounting, Undergraduate-Level, 2001–2004

### SERVICES

#### EDITORIAL BOARDS

- Journal of Accounting, Auditing, and Finance*, Editorial Board Member, May 2022—present  
*Contemporary Accounting Research*, Editorial Board Member, May 2014–April 2020  
*China Accounting and Finance Review*, Associate Editor, March 2015–December 2017

#### AD HOC REFEREE

- Abacus*  
*Accounting Review*  
*Accounting, Organizations and Society*  
*Contemporary Accounting Research*  
*Emerging Markets Finance and Trade*  
*European Accounting Review*  
*Financial Analysts Journal*  
*Journal of Accounting, Auditing, and Finance*

*Journal of Accounting and Economics*  
*Journal of Accounting and Public Policy*  
*Journal of Accounting Research*  
*Journal of Business Finance & Accounting*  
*Journal of Empirical Finance*  
*Journal of Empirical Legal Studies*  
*Journal of Financial Research*  
*Journal of Management Accounting Research*  
*Management Science*  
*Pacific-Basin Finance Journal*  
*Review of Accounting Studies*  
*Review of Financial Studies*  
*Review of Finance*  
AAA Annual Meetings  
AAA Financial Accounting and Reporting Section (FARS) Midyear Meetings  
AAA Mid-Atlantic Region Meetings  
MIT Asia Conferences in Accounting  
Chinese Accounting Professors' Association of North America (CAPANA) Conferences  
Research Grant Council (RGC) of Hong Kong Government

#### COMMITTEES

##### American Accounting Association (AAA)

President, Chinese Accounting Professors' Association of North America (CAPANA), 2018-19  
Vice President, Chinese Accounting Professors' Association of North America (CAPANA), 2017-18  
FARS Midyear Meeting Research Program Committee, 2015  
FARS Best Dissertation Award Committee, 2014  
Annual Meeting Research Program Committee, 2012

##### University of Connecticut

Business School Business and Society Committee, 2022  
Department In-Residence Faculty Reappointment & Promotion Committee (Chair), 2021  
Department In-Residence Faculty Recruiting Committee, 2021  
Business School PwC Challenge Case Competition, Faculty Mentor, 2021  
Department Promotion & Tenure Committee, 2020-current  
Department Faculty Recruiting Committee, 2020-current  
Ph.D. Admission Committee, 2020-current  
Business School Diversity Committee, 2020  
Ph.D. Advising

- Jingyu Xu, dissertation committee
- Vernan Rivera, second-year paper committee (Chair)

##### Pennsylvania State University

Business School Promotion & Tenure Committee, 2019  
Accounting Research Conference Committee, 2014 (Chair), 2019

Advisory Committee for Academic Databases and Computing, 2015-2020  
Clinical Professor Searching Committee, 2015  
Department Advisory Committee, 2007-08, 2010-11  
Faculty Recruiting Committee, 2008-2020  
Ph.D. Comprehensive Exam Committee (Chair), 2013  
Ph.D. Admission Committee, 2005-06, 2009-2020  
Research Colloquium Organizer, 2009-2011  
Smeal Competitive Research Committee, 2012-2017  
Undergraduate Curriculum Task Force (Chair), 2012  
Undergraduate Curriculum Committee, 2007-2008, 2015 (Chair)  
Undergraduate Policy Committee, 2006-2013  
Ph.D. Dissertation Committees

- Yue Zhang, 2020 (initial placement: Baruch College)
- Di Guo, 2019 (initial placement: Hong Kong Baptist University)
- Ian Tarrant, 2017 (initial placement: University of Buffalo)
- Bjorn Berg, 2015 (Chair, initial placement: Loyola University)
- Kristy Schenck, 2012 (initial placement: Bucknell University)
- Yuan Wang, 2012 (Finance, initial placement: Concordia University)
- Maya Thevenot, 2007 (initial placement: SUNY, Fredonia)

EXTERNAL EVALUATOR

Tenure promotion packages, 2014 (1), 2015 (2), 2019 (1), 2021 (1)

**WORK EXPERIENCE**

Auditor, KPMG Huazhen CPA, Beijing, 1997–1998

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