# GUOJIN GONG (宫国瑾)

School of Business University of Connecticut Stamford, CT 06901 Email: guojin.gong@uconn.edu

Phone: (814) 321-6717

#### ACADEMIC POSITIONS

University of Connecticut

Associate Professor of Accounting (with tenure), August 2020—Present

Pennsylvania State University

Associate Professor of Accounting (with tenure), July 2012—June 2020 Assistant Professor of Accounting, August 2005—June 2012

National University of Singapore (Singapore)

Visiting Associate Professor of Accounting, August 2014—February 2015

Shanghai University of Finance and Economics (China)

Visiting Associate Professor of Accounting, March—May 2015 Special-term Professor of Accounting, 2016—2018

Tsinghua University (China)

Visiting Associate Professor of Accounting, June 2015

University of Iowa

Instructor of Accounting, August 2001—May 2004

### **EDUCATION**

Ph.D. in Accounting (Minor: Finance)

University of Iowa, Henry B. Tippie College of Business, 2005

M.A. in Economics

Washington University in St. Louis, 2000

B.A. in Economics

Peking University (China), School of Economics, 1997

# RESEARCH

# REFEREED PUBLICATIONS

• "CEO employment contract horizon and financial reporting discretion" with Juan Wang. Forthcoming *Journal of Management Accounting Research*.

- "Earnings forecasts and price efficiency after earnings realizations: reduction in information asymmetry through learning from price" with Hong Qu and Ian Tarrant. Forthcoming *Contemporary Accounting Research*.
- "Relative performance evaluation and the timing of earnings release" with Laura Li and Huifang Yin. *Journal of Accounting and Economics* 67:2 (2019) p. 358-386.
- "Lenders' experience with borrowers' major customers and the debt contracting demand for accounting conservatism" with Shuqing Luo. *The Accounting Review* 93:5 (2018) p.187-222.
- "Inside debt and the design of corporate debt contracts" with Divya Anantharaman and Vivian Fang. *Management Science* 60:5 (2014) p.1260-1280.
  - o Best Paper Award at the 2011 AAA Mid-Atlantic Region Meeting
- "Earnings non-synchronicity and voluntary disclosure" with Laura Li and Ling Zhou. *Contemporary Accounting Research* 30:4 (2013) p.1560-1589.
- "Home country investor protection, ownership structure and cross-listed firms' compliance with SOX-mandated internal control deficiency disclosures" with Bin Ke and Yong Yu. *Contemporary Accounting Research* 30:4 (2013) p.1490-1523.
- "Relative performance evaluation and related peer groups in executive compensation contracts" with Laura Li and Jae Yong Shin. *The Accounting Review* 86:3 (2011) p.1007–1043.
  - Outstanding Paper Award at the 2010 AAA Management Accounting Section Midyear Meeting
- "Serial correlation in management earnings forecast errors" with Laura Li and Jeff Wang. *Journal of Accounting Research* 49:3 (2011) p.677-720.
- "The association between management earnings forecasts errors and accruals" with Laura Li and Hong Xie. *The Accounting Review* 84:2 (2009) p.497–530.
- "Corporate governance and backdating of executive stock options" with Daniel Collins and Haidan Li. *Contemporary Accounting Research* 26:2 (2009) p.403–445.
- "Earnings management, lawsuits, and stock-for-stock acquirers' market performance" with Henock Louis and Amy Sun. *Journal of Accounting and Economics* 46:1 (2008) p.62–77.
- "Earnings management and firm performance following open-market repurchase" with Henock Louis and Amy Sun. *Journal of Finance* 63:2 (2008) p.947–986.
- "Investor sophistication and the mispricing of accruals" with Daniel Collins and Paul Hribar. *Review of Accounting Studies* 8:2-3 (2003) p.251–276.

# WORKING PAPERS

- "Short interest and corporate investments: Evidence from business partners" with Xia Chen and Shuqing Luo.
- "Corporate site visits and firm investment efficiency" with Sean Cao, Hanzhong Shi, and Yongtae Kim.
- "Strategic earnings guidance under relative stock-performance goals" with Sean Cao, Laura Li, and Hayoung Yoon.
- "Setting relative performance targets in executive compensation contracts" with Laura Li and Huifang Yin.
- "Cash flows as performance measures and the pricing of bank loans" with Biqin Xie and Daniel X. Jiang.

# ACADEMIC PRESENTATIONS

2020 Shanghai University of Finance and Economics (scheduled)

University of Connecticut

2019 Baruch College

New York University

MIT Asian Conference in Accounting

Beijing Institute of Technology (China)

2018 Santa Clara University

2017 Nanjing Audit University (China)

American Accounting Association Annual Meeting

2016 Georgia State University

Ohio State University

University of Iowa Sidney Winter Lecture Series

Beijing Institute of Technology (China)

Beijing Technology and Business University (China)

Management Accounting Section Midyear Meeting (Discussant)

2015 HongKong Polytechnic University

Huazhong University of Science and Technology (China)

Peking University (China)

Tsinghua University (China)

University of International Business and Economics (China)

China Accounting and Finance Review (CAFR) and Journal of Accounting, Auditing and Finance (JAAF) Joint Conference (Discussant)

Overseas Chinese Accounting Scholars Symposium (hosted by National Taiwan University and National Chengchi University)

Tsinghua University International Corporate Governance Conference

University of Alberta Accounting Research Conference

2014 University of Texas at Austin

National University of Singapore

Singapore Management University

SMU-SOAR Accounting Symposium (Discussant)

2013 Central University of Finance and Economics (China)

Hong Kong University

Peking University (China)

Tsinghua University (China)

HongKong Polytechnic University Conference on Executive Compensation (Discussant)

American Accounting Association Annual Meeting (Discussant)

2011 National University of Singapore

Binghamton University (SUNY)

Syracuse University

University of Toronto

American Accounting Association Annual Meeting

2010 Michigan State University

Northwestern University

University of Southern California

University of Kentucky

Vanderbilt University

Financial Accounting and Reporting Section Midyear Meeting

American Accounting Association Annual Meeting

London Business School Accounting Symposium (Discussant) 2009

> Chinese Accounting Professors' Association of North America (CAPANA) Conference American Accounting Association Annual Meeting

Financial Economics and Accounting Annual Conference (hosted by University of Texas 2008 at Austin)

American Accounting Association Annual Meeting

Financial Accounting and Reporting Section Midyear Meeting

2007 Financial Economics and Accounting Annual Conference (hosted by New York University)

American Accounting Association Annual Meeting

2006 Pennsylvania State University Accounting Research Conference

American Accounting Association Annual Meeting.

Drexel University 2005

**Emory University** 

Notre Dame University

Pennsylvania State University

University of California-Los Angeles

University of Connecticut

University of Illinois at Urbana-Champaign

University of Minnesota

### CONFERENCE PARTICIPATION (INVITED ONLY)

2018 Chinese Accounting Professors' Association of North America Annual Conference

2017 University of Alberta Accounting Research Conference

Chinese Accounting Professors' Association of North America Annual Conference

- New Institutional Accounting Research Conference (hosted by Shanghai University of Finance and Economics, China)
- 2016 International Symposium on Accounting Research in China Annual Conference (hosted by Dongbei University of Finance and Economics, China) University of Iowa Sidney Winter Lecture Series KPMG National Faculty Symposium
- 2015 Asian Bureau of Finance and Economic Research Annual Meeting, Singapore China Accounting and Finance Review (CAFR) and Journal of Accounting, Auditing and Finance (JAAF) Joint Conference
  Oversea Chinese Accounting Scholars Symposium (hosted by National Taiwan University and National Chengchi University)
  Tsinghua University International Corporate Governance Conference
  University of Alberta Sixth Accounting Research Conference
- 2014 CGIO Academic Conference on Business Groups (hosted by National University of Singapore)
   Review of Accounting Studies Annual Conference (hosted by University of British Columbia)
   SMU-SOAR Accounting Symposium (hosted by Singapore Management University)
- 2013 Washington University in St. Louis Nick Dopuch Accounting Research Conference Hong Kong Polytechnic University Conference on Executive Compensation George Washington University Accounting Research Conference
- 2012 Washington University in St. Louis Nick Dopuch Accounting Research Conference
- 2011 University of Iowa Sidney Winter Lecture Series
- 2010 Chinese Accounting Professors' Association of North America Annual Conference University of Toronto Fourth Annual Accounting Research Conference
- 2009 Contemporary Accounting Research Annual Conference (Montreal, Canada) Chinese Accounting Professors' Association of North America Annual Conference London Business School Accounting Symposium Review of Accounting Studies Annual Conference (hosted by Tilburg University) University of Toronto Third Annual Accounting Research Conference Washington University in St. Louis Nick Dopuch Accounting Research Conference
- 2008 AAA/Deloitte Foundation TrueBlood Seminar for Professors Chinese Accounting Professors' Association of North America Annual Conference PricewaterhouseCoopers University for Faculty
- 2007 Contemporary Accounting Research Annual Conference (Montreal, Canada)
   FASB Faculty Consortium
   University of Delaware Symposium on Backdating Options
- 2006 American Accounting Association New Faculty Consortium University of Iowa Sidney Winter Lecture Series
- 2005 Pennsylvania State University Accounting Research Conference
- 2003 *Journal of Accounting and Economics* Annual Conference (hosted by Northwestern University)
- 2002 American Accounting Association Doctoral Consortium, Tahoe City Review of Accounting Studies Annual Conference (hosted by University of Michigan)
- 2001 Big10+ Doctoral Consortium (hosted by University of Michigan)

# MEDIA MENTIONS

CFO.com, Harvard Law School Forum, Wall Street Journal

#### TEACHING EXPERIENCE

Pennsylvania State University

ACCTG 471 Intermediate Financial Accounting, Undergraduate-Level, 2005–2020

ACCTG 597 Research Seminar, Doctoral-Level, 2019–2020

ACCTG 590 Research Methodology, Doctoral-Level, 2009–2010

National University of Singapore

BAA 6001 Empirical Research in Accounting I, Doctoral-Level, Fall 2014

University of Iowa

6A: 001 Introductory Financial Accounting, Undergraduate-Level, 2001–2004

#### SERVICES

# **EDITORIAL BOARDS**

Contemporary Accounting Research, Editorial Board Member, May 2014–April 2020 China Accounting and Finance Review, Associate Editor, March 2015–December 2017

# AD HOC REFEREE

Abacus

Accounting Review

Accounting, Organizations and Society

Contemporary Accounting Research

Emerging Markets Finance and Trade

European Accounting Review

Financial Analysts Journal

Journal of Accounting, Auditing and Finance

Journal of Accounting and Economics

Journal of Accounting and Public Policy

Journal of Accounting Research

Journal of Business Finance & Accounting

Journal of Empirical Finance

Journal of Empirical Legal Studies

Journal of Financial Research

Journal of Management Accounting Research

Management Science

Pacific-Basin Finance Journal

Review of Accounting Studies

Review of Finance

**AAA Annual Meetings** 

AAA Financial Accounting and Reporting Section (FARS) Midyear Meetings

AAA Mid-Atlantic Region Meetings

MIT Asia Conferences in Accounting

Chinese Accounting Professors' Association of North America (CAPANA) Conferences Research Grant Council (RGC) of Hong Kong Government

### **COMMITTEES**

American Accounting Association (AAA)

President, Chinese Accounting Professors' Association of North America (CAPANA), 2018-19

Vice President, Chinese Accounting Professors' Association of North America (CAPANA), 2017-18

FARS Midyear Meeting Research Program Committee, 2015

FARS Best Dissertation Award Committee, 2014

Annual Meeting Research Program Committee, 2012

# Pennsylvania State University

Ph.D. Dissertation Committees

Yue Zhang, 2020 (initial placement: Baruch College)

Di Guo, 2019 (initial placement: Hong Kong Baptist University)

Ian Tarrant, 2017 (initial placement: University of Buffalo)

Bjorn Berg, 2015 (Chair, initial placement: Loyola University)

Kristy Schenck, 2012 (initial placement: Bucknell University)

Yuan Wang, 2012 (Finance, initial placement: Concordia University)

Maya Thevenot, 2007 (initial placement: SUNY, Fredonia)

Accounting Research Conference Committee, 2014 (Chair), 2019

Advisory Committee for Academic Databases and Computing, 2015-2020

Clinical Professor Searching Committee, 2015

Department Advisory Committee, 2007-08, 2010-11

Faculty Recruiting Committee, 2008-2020

Ph.D. Comprehensive Exam Committee (Chair), 2013

Ph.D. Admissions Committee, 2005-06, 2009-2020

Research Colloquium Organizer, 2009-2011

Smeal Competitive Research Committee, 2012-2017

Undergraduate Curriculum Task Force (Chair), 2012

Undergraduate Curriculum Committee, 2007-2008, 2015 (Chair)

Undergraduate Policy Committee, 2006-2013

# EXTERNAL EVALUATOR

Tenure promotion packages, 2014 (1), 2015 (2), 2019 (1)

# WORK EXPERIENCE

Auditor, KPMG Huazhen CPA, Beijing, 1997–1998