Ying Zhou

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ACADEMIC APPOINTMENTS

University of Connecticut – School of Business	Storrs, CT
Assistant Professor (Maternity/FMLA leaves: 2016, 2018)	2014 – present
Dean's Ackerman Scholar	2022 – present

EDUCATION

Ph.D. in Accounting, University of Florida Dissertation Committee: Marcus Kirk, Paul Madsen, Jay Ritter, Jenny Tucker (Chair)	2014
M.S. in Management, M.S. in ECE, University of Florida	2009
B.S. in Electrical and Computer Engineering, Fudan University	2007

RESEARCH (* Presentation by co-author)

Research Interests

Financial misreporting, insider trading, the role of gatekeepers in capital markets

Publications

"Securitization and Insider Trading" with Stephen Ryan and Jenny Tucker, 2016. *The Accounting Review* 91 (2): 649-675.

- Cited in the Securities and Exchange Commission (SEC)'s proposed rule regarding insider trading "Rule 10b5-1 and Insider Trading" SEC Release No. 33-11013; 34-93782
- "Proprietary Costs and Corporate Lobbying against Changes in Mandatory Disclosure" sole-authored. *Management Science*, forthcoming.
- Featured in <u>Columbia Law School's Blue Sky Blog</u> (January 2022); <u>UConn Today</u> (March 2018)

"Fool Me Once, Shame on You; Fool Me Twice, Shame on Me: The Long-Term Impact of Arthur Andersen's Demise on Partners' Audit Quality" with Feng Guo, Ling Lisic, Jeffrey Pittman, Tim Seidel, and Mi Zhou. *Contemporary Accounting Research*, forthcoming.

- Featured in *BYU Marriott News* (March 2022)

"Inexperienced Component Auditors and the Internal Information Asymmetry of Multinational Corporations" with Tom Adams. *Journal of Accounting, Auditing & Finance*, conditionally accepted.

Working Papers

"Selection Bias in Audit Firm Tenure Research" with Dave Weber and Ce Wen.

- Revising for second round submission at *Review of Accounting Studies*
- Invited presentations: University of Miami, Temple Accounting 100th Anniversary Conference

"Incremental Usefulness of Banks' Parent-only Balance Sheet to Debt Holders" with Jenny Tucker and Jigao Zhu.

- Revising for second round submission at *Accounting Horizons*
- Invited presentations: New York University*, Remin University*, Pennsylvania State
 University*, University of California Davis*, University of Connecticut, the 2020 FARS
 Midyear Meeting, the 2020 AAA Annual Meeting, and Iowa State University

"The Effect of Audit Market Characteristics on Auditors' Reputational Damage Following Negative PCAOB Inspection Reports" with Brant Christensen, Feng Guo, and Mike Wilkins.

Invited presentations: University of Connecticut, and the 2021 FARS Midyear Meeting

"Disclosure Regulation and the Competition between Public and Private Firms: The Case of Segment Reporting" sole-authored.

Invited presentations: Georgia State University, Drexel University, Baruch College,
 University of Connecticut, Singapore Management University, National University of Singapore, and Nanyang Technological University

"Lenders' Financial Information Demand for Parent Borrowers" with Jenny Tucker and Mark Zakota.

 Invited presentations: Michigan State University*, University of Alberta*, University of Illinois Chicago, University of Florida*, and University of Pittsburgh*

Work in Progress

- "Accounting Estimates and Auditor Litigation Risk" with Jennifer Luchs-Nunez and Jeffrey Pittman.
- Data collection

PRESENTATIONS

Conference Presentations

- 2021 AAA FARS Midyear Meeting
- 2020 AAA Annual Meeting
- 2020 AAA FARS Midyear Meeting, Nashville, TN
- 2018 Temple Accounting 100th Anniversary Conference
- 2018 AAA Annual Meeting, Washington, DC

- 2018 AAA Annual Meeting, Washington, DC (discussant)
- 2018 2018 Deloitte/University of Kansas Auditing Symposium
- 2018 AAA FARS Midyear Meeting, Austin, TX
- 2016 AAA Annual Meeting, New York
- 2016 AAA Annual Meeting, New York (discussant)
- 2012 AAA Annual Meeting, Washington, DC
- 2011 AAA Annual Meeting, Denver, CO

Workshop Presentations

- 2021 University of Illinois Chicago
- 2020 Iowa State University
- 2018 University of Miami
- 2014 Georgia State University
- 2014 Drexel University
- 2014 Baruch College
- 2014 University of Connecticut
- 2014 Singapore Management University
- 2014 National University of Singapore
- 2014 Nanyang Technological University

INVITED CONFERENCE ATTENDANCE

- 2022 AAA FARS Mid-Year Meeting (virtual)
- 2021 Contemporary Accounting Research Conference
- 2021 2021 CAPANA Research Conference
- 2020 PCAOB Conference on Auditing and Capital Markets
- 2019 Yale Fall Conference
- 2018 AAA Northeast Region Meeting
- 2016 Yale Summer Conference
- 2015 Yale Fall Conference
- 2015 AAA New Faculty Consortium, Leesburg, VA
- 2014 AAA Annual Meeting, Atlanta, GA
- 2014 AAA FARS Mid-Year Meeting, Houston, TX
- 2011 AAA/Deloitte/J. Michael Cook Doctoral Consortium, Tahoe, CA
- 2011 AAA FARS Midyear Meeting, Tampa, FL
- 2011 Florida PhD Alumni Research Conference
- 2010 AAA FARS Midyear Meeting, San Diego, CA

TEACHING

Cost Accounting (University of Connecticut, 2015-present) Financial Accounting and Reporting II (University of Florida, Fall 2013)

HONORS AND AWARDS

Ackerman Scholar Award, UConn School of Business, 2022-present

AAA FARS Excellence in Reviewing Award, 2018
Best Paper Award Honorable Mention, UConn School of Business, 2016
Alumni Fellowship, University of Florida, 2009 – 2014
AAA/Deloitte/J. Michael Cook Doctoral Consortium Fellow, 2011
Certificate of Outstanding Achievement, University of Florida, 2007
Dow Scholarship, Fudan University, 2006

SERVICE

University, School, and Department Service

School of Business Freshman/Sophomore Experience Committee, 2019-present

Accounting Department Workshop Coordinator, 2019-2021

Accounting Department Undergraduate Student Honors Thesis Supervisor, 2020

Accounting Department Tenure-Track Faculty Recruiting Committee, 2016-2017

Accounting Department PhD Program Committee, 2015-2016

Faculty Mentor for the PriceWaterhouseCoopers Case Competition, 2014 and 2015

- team named as a PwC Challenge National Semi Finalist (2014)

Ad-Hoc Reviewer

Contemporary Accounting Research

Journal of Accounting Literature

Advance in Accounting

Hawaii Accounting Research Conference

AAA Annual Meeting, AAA Auditing Midyear Meeting, AAA FARS Midyear Meeting

PROFESSIONAL MEMBERSHIP

American Accounting Association, 2010-present