#### **GERLINDE BERGER-WALLISER**

Associate Professor of Business Law, University of Connecticut School of Business Research Fellow, University of Connecticut School of Law

Department of Marketing
University of Connecticut
Storrs, CT 06269
860-486-5864 / gerlinde.berger-walliser@uconn.edu

## **ACADEMIC APPOINTMENTS**

UNIVERSITY OF CONNECTICUT SCHOOL OF BUSINESS, STORRS, CT Associate Professor of Business Law (with tenure), 2019 - present

UNIVERSITY OF CONNECTICUT SCHOOL OF BUSINESS, STORRS, CT Assistant Professor of Business Law, 2012 - 2019

UNIVERSITY OF CONNECTICUT SCHOOL OF LAW, HARTFORD, CT Research Fellow, 2012 – present

ICN BUSINESS SCHOOL (attached to the University of Lorraine), NANCY – METZ, FRANCE Deputy Department Head, Legal and Economic Environment Department, 2005 - 2009 Associate Professor of Business Law, 2003 - 2012

#### **EDUCATION**

Licentiate in International Transactions Involving the United States, UNIVERSITY OF CALIFORNIA DAVIS, 2009

Ph.D. in Law (Dr. jur.), UNIVERSITY OF BIELEFELD (Germany), 2003

Second State Examination in Law (J.D.) (2. juristisches Staatsexamen), Stuttgart (Germany), 1995

First State Examination in Law (1. juristisches Staatsexamen), Hamm (Germany), 1992

Hochschule für Verwaltungswissenschaften (University of Administrative Sciences), Speyer, Germany, One-term program at Central German Administration School, 1994

Law studies at University of Bielefeld (Germany) and University of Geneva (Switzerland), 1986-1991

## **RESEARCH INTERESTS**

International and Comparative Business Law, International Procedural Law, Internet Law, Corporate Governance, Corporate Social Responsibility, Environmental Sustainability, Proactive Law, Contract Visualization, Legal Design

# **PUBLICATIONS Articles:**

Janine Hiller, Gerlinde Berger-Walliser, and Aaron Brantley, *Critical Infrastructure Protection* for the Internet of Persons, 25 **UNIVERSITY OF PENNSYLVANIA JOURNAL OF LAW AND SOCIAL CHANGE** 115 – 152 (2021)

Chambers, Rachel and Berger-Walliser, Gerlinde, *The Future of International Corporate Human Rights Litigation: A Transatlantic Comparison*, 58 **AMERICAN BUSINESS LAW JOURNAL** 570 – 642 (2021)

Berger-Walliser, Gerlinde, *Reforming International Human Rights Litigation against Corporate Defendants after Jesner v. Arab Bank*, 21 **University of Pennsylvania Journal of Business Law** 758-806 (2019) (lead article)

Berger-Walliser, Gerlinde, Reconciling Transnational Jurisdiction: A Comparative Approach to Personal Jurisdiction over Foreign Corporate Defendants in U.S. Courts, 51 VANDERBILT JOURNAL OF TRANSNATIONAL LAW 1243-1304 (2018) (lead article) (cited in Williams by Williams v. OAO Severstal, 938 WDA 2017, 2019 WL 4888570, Superior Court of Pennsylvania Oct. 2, 2019)

Berger-Walliser, Gerlinde and Scott, Inara, *Redefining Corporate Social Responsibility in an Era of Globalization and Regulatory Hardening*, 55 **AMERICAN BUSINESS LAW JOURNAL** 167-218 (2018) (first place distinguished conference paper award)

Schulzke, Kurt S. and Berger-Walliser, Gerlinde, *Toward a Unified Theory of Materiality in Securities Law*, 56 **COLUMBIA JOURNAL OF TRANSNATIONAL LAW** 6-70 (2017)

Berger-Walliser, Gerlinde, Thomas D. Barton and Helena Haapio, *From Visual Law to Legal Design: Images as a Collaborative and Creative Process for Legal Problem-Solving*, 54 **AMERICAN BUSINESS LAW JOURNAL** 347-392 (2017)

Berger-Walliser, Gerlinde, Shrivastava, Paul and Sulkowski, Adam, *Using Proactive Legal Strategy for Corporate Environmental Sustainability*, 6 **MICHIGAN JOURNAL OF ENVIRONMENTAL AND ADMINISTRATIVE LAW** 1-35 (2016) (lead article)

Park, Stephen K. and Berger-Walliser, Gerlinde, *A Firm-Driven Approach to Global Governance and Sustainability*, 52 **AMERICAN BUSINESS LAW JOURNAL** 255-315 (2015)

Berger-Walliser, Gerlinde and Shrivastava, Paul, Beyond Compliance: Sustainable Development, Business, and Proactive Law, 46 GEORGETOWN JOURNAL OF INTERNATIONAL LAW 417-474 (2015)

Berger-Walliser, Gerlinde and Bird, Robert C., *The Impact of Plain Packaging Regulation on Illicit and Non-Illicit Tobacco Products in the European Union*, 38 **North Carolina Journal OF International Law and Commercial Regulation** 1015-1060 (2013)

Schulzke, Kurt S., Berger-Walliser, Gerlinde and Marchini, Pier L. Lexis Nexus Complexus: Comparative Contract Law and International Accounting Collide in the IASB-FASB Revenue Recognition Exposure Draft, 46 VANDERBILT JOURNAL OF TRANSNATIONAL LAW 515-580 (2013)

Barton, Thomas D., Berger-Walliser, Gerlinde and Haapio, Helena, *Visualization: Seeing Contracts for What They Are and What They Could Become*, 19 **JOURNAL OF LAW, BUSINESS AND ETHICS** 47-63 (2013)

Berger-Walliser, Gerlinde, Stalling Williams, Melanie, Walliser, Björn and Bender, Mark, Bavarian Blondes Don't Need a Visa: A Comparative Law Analysis of Ambush Marketing, 21 TULANE JOURNAL OF INTERNATIONAL AND COMPARATIVE LAW 1-35 (2012) (lead article and first place distinguished conference paper award)

Berger-Walliser, Gerlinde, Walliser, Björn and Valencia, Franck, Les Stratégies Juridiques et Marketing pour Lutter contre le Pseudo-Parrainage, 110 GÉRER ET COMPRENDRE 15-23 (2012)

Saunders, Kurt M. and Berger-Walliser, Gerlinde, *The Liability of Online Markets for Counterfeit Goods: A Comparative Analysis of Secondary Trademark Infringement in the United States and Europe*, 32 **NORTHWESTERN JOURNAL OF INTERNATIONAL LAW AND BUSINESS** 37-91 (2011)

Berger-Walliser, Gerlinde, *Die Haftung von Hostprovidern für Rechtsverletzungen durch Dritte:* Vergleich der deutschen und französischen "eBay"-Rechtsprechung, 3 ZEITSCHRIFT FÜR EUROPÄISCHES PRIVATRECHT 476-499 (2011)

Berger-Walliser, Gerlinde, Bird, Robert C. and Haapio, Helena, *Promoting Business Success through Contract Visualization*, 17 **JOURNAL OF LAW, BUSINESS AND ETHICS** 55-75 (2011)

Berger-Walliser, Gerlinde and Moes, Alexandra, Les Conditions d'accès au marché français des opérateurs économiques étrangers, non ressortissants communautaires, REVUE TRIMESTRIELLE DE DROIT COMMERCIAL ET ECONOMIQUE 669-682 (2009)

Berger-Walliser, Gerlinde and Moes, Alexandra, « *Doing Business in France* », Le cadre juridique pour les activités commerciales en France, 12 FACEF PESQUISA107-117 (2009)

Franklin A. Gevurtz and Berger-Walliser, Gerlinde, *Der Fall Mannesmann aus rechtsvergleichender Sicht*, **Zeitschrift für Europarecht, Internationales Privatrecht und Rechtsvergleichung** 38-46 (2009)

Berger-Walliser, Gerlinde, Das Ende der "goldenen Handschläge" in Frankreich, Eine Analyse der neuen gesetzlichen Regelung von Managerabfindungen in Frankreich (Art. 17 Loi n° 2007-1223 vom 21.8.2007 – Loi TEPA), RECHT DER INTERNATIONALEN WIRTSCHAFT 583-590 (2008)

Berger-Walliser, Gerlinde and Feinstein, Barry A., Doing Business Internationally – Unternehmenstätigkeit global, Eine vergleichende Untersuchung des juristischen Risikos unternehmerischer Auslandsaktivitäten, RECHT DER INTERNATIONALEN WIRTSCHAFT 20-28 (2008)

Berger-Walliser, Gerlinde, *Mißbräuchliche Klauseln in Verbraucherverträgen nach Inkrafttreten des Code de la consommation und Umsetzung der EG-Richtlinie 93/13 in Frankreich*, **RECHT DER INTERNATIONALEN WIRTSCHAFT** 459-463 (1996)

Kronke, Herbert and Berger, Gerlinde, Wertpapierstatut, Schadensersatzpflichten der Inkassobank, Schuldnerschutz in der Zession - Schweizer Orderschecks auf Abwegen, PRAXIS DES INTERNATIONALEN PRIVAT- UND VERFAHRENSRECHTS 316-320 (1991)

# Working Papers and Work in Progress:

Gerlinde Berger-Walliser, American Capitalism, in Inara Scott (ed.), SUSTAINABLE CAPITALISM: CONTRADICTION IN TERMS, OR ESSENTIAL WORK OF THE ANTHROPOCENE?, University of Utah Press (forthcoming 2023)

Gerlinde Berger-Walliser, Stefan Hock, and Björn Walliser, *Influencer Marketing: Has it Gone Too Far?: A Comparative Legal Analysis* 

Gerlinde Berger-Walliser and Anna Hurmerinta-Haanpää, A Functional Approach to Legal Contract Theory

#### **Books and Invited Book Chapters:**

Virginia Harper Ho, Gerlinde Berger-Walliser and Rachel Chambers, Toward Corporate Group Accountability, in Martin Petrin & Christian Witting (eds.), HANDBOOK OF CORPORATE LIABILITY, Elgar (forthcoming 2022)

Hiller, Janine and Berger-Walliser, Gerlinde, *The Status Of The Legal Studies Discipline in The United States: Similarities And Contrasts With French « Grandes Écoles »,* in Xavier Strubel and Gaëlle Deharo (eds.), Penser les relations du droit et des sciences de Gestion. Quelle formation juridique pour les managers du XXIe siecle?, DALLOZ, France 2014

Berger-Walliser, Gerlinde and Kim Østergaard (eds.), DJØF Publishing, PROACTIVE LAW IN A BUSINESS ENVIRONMENT, Denmark 2012

Berger-Walliser, Gerlinde, *The Past and Future of Proactive Law: An Overview of the Proactive Law Movement*, in Berger-Walliser, Gerlinde / Østergaard, Kim (eds.), PROACTIVE LAW IN A BUSINESS ENVIRONMENT, DJØF Publishing, Denmark 2012

Berger-Walliser, Gerlinde, Bird, Robert and Haapio, Helena, *La Visualisation des Contrats Comme Outil de Performance Juridico-Managérial*, in Masson, Antoine and Bouthinon-Dumas, Hugues (eds)., STRATÉGIES JURIDIQUES DES ENTREPRISES – Vol. 2, LARCIER, Belgium 2012

Berger-Walliser, Gerlinde, A Proactive Approach to Law for Business Professionals, in Kaisa Sorsa (ed.), PROACTIVE MANAGEMENT AND PROACTIVE BUSINESS LAW, A HANDBOOK 158, Turku University of Applied Sciences, 66 Course Material, Finland 2011

Schumacher, E. Günter and Berger-Walliser, Gerlinde (eds.), BUSINESS ENVIRONMENT, AN INTERDISCIPLINARY APPROACH, compiled by and material specially written by the editors, Pearson, France 2011

Berger-Walliser, Gerlinde, LUFTBEFÖRDERUNGSBEDINGUNGEN UND AGB-KONTROLLE IM DEUTSCHEN, FRANZÖSISCHEN UND INTERNATIONALEN PRIVATRECHT, University of Bielefeld, Germany 2003, http://bieson.ub.uni-bielefeld.de/volltexte/2003/270/ (Ph.D. thesis)

Hemmer, Wüst, Berger-Walliser, Knoblauch and Merkner, Internationales Privatrecht, Hemmer-Wüst Verlagsgesellschaft, Germany 1997

# Other Publications, Conference Proceedings, Case Studies:

Scott, Inara and Berger-Walliser, Gerlinde, *It's Time to Redefine Corporate Social Responsibility*, THE CLS BLUE SKY BLOG (COLUMBIA LAW SCHOOL'S BLOG ON CORPORATIONS AND THE CAPITAL MARKETS) (invited) (2017)

Scott, Inara and Berger-Walliser, Gerlinde, *Redefining Corporate Responsibility in an Era of Globalization and Regulatory Hardening*, 48 ACADEMY OF LEGAL STUDIES IN BUSINESS NATIONAL PROCEEDINGS (2017)

Barton, Thomas D., Berger-Walliser, Gerlinde and Haapio, Helena, *Contracting for Innovation and Innovating Contracts: An Overview and Introduction to the Special Issue*, 2 JOURNAL OF STRATEGIC CONTRACTING AND NEGOTIATION 3-8 (2016)

Berger-Walliser, Gerlinde and Shrivastava, Paul, Beyond Compliance: Sustainable Development, Business and Proactive Law, 45 ACADEMY OF LEGAL STUDIES IN BUSINESS NATIONAL PROCEEDINGS (2014)

Haapio, Helena, Berger-Walliser, Gerlinde, Walliser, Bjorn and Rekola, Katri, *Time for a Visual Turn in Contracting*, 12 JOURNAL OF CONTRACT MANAGEMENT 49-57 (2012)

Barton, Thomas D., Berger-Walliser, Gerlinde and Haapio, Helena, *Visualization: Seeing Contracts for What They Are and What They Could Become*, conference proceedings, IACCM ACADEMIC AND GLOBAL FORUM (2011)

Berger-Walliser, Gerlinde, Haapio, Helena and Rekola, Katri, *Contracts as Roadmap for Performance: Enhancing Cross-Disciplinary Understanding through Contract Visualization*, conference proceedings of the 21<sup>st</sup> Annual NORTH AMERICAN RESEARCH/TEACHING SYMPOSIUM ON PURCHASING AND SUPPLY CHAIN MANAGEMENT (2011)

Berger-Walliser, Gerlinde and Haapio, Helena, *Promoting Business Success through Proactive Contracting and Visualization*, 41 ACADEMY OF LEGAL STUDIES IN BUSINESS NATIONAL PROCEEDINGS (2010)

Haapio, Helena, Rekola, Katri and Berger-Walliser, Gerlinde, *Business Innovation through Proactive Contracting: A Conceptual, Interdisciplinary Framework for Service Procurement Practices*, proceedings of the 20th Annual North American Research/Teaching Symposium on Purchasing and Supply Chain Management (2010)

Berger-Walliser, Gerlinde, Executive Compensation in Germany and the U.S. compared to French Legislation, ICN WORKING PAPER N° 2009-03 (2009)

Berger-Walliser, Gerlinde and Valencia, Franck, *L'affaire YAHOO, comment repenser la notion de souveraineté à l'heure d'internet*, ICN WORKING PAPER N° 2008-22 (2008)

Berger-Walliser, Gerlinde and Valencia, Franck, *El caso YAHOO !Inc., los límites de la extraterritorialidad de la ley francesa,* Podcast for Jornada sobre "Derecho Informático Delitos cometidos a través de INTERNET", Colegio Público de Abogados de la Capital Federal, UNIVERSIDAD ABIERTA INTERAMERICANA, http://www.univ-nancy2.fr/VIDEOSCOP/ICN (2008)

Harding, Claire, Walliser, Björn and Berger-Walliser, Gerlinde, *Avago Technologies – Strategic Trade Show Management*, case study n°: 508-052-1, EUROPEAN CASE CLEARING HOUSE, Cranfield University (23 p.) (2008)

Harding, Claire, Walliser, Björn and Berger-Walliser, Gerlinde, *Avago Technologies – Strategic Trade Show Management, teaching note*, n°: 508-052-8, EUROPEAN CASE CLEARING HOUSE, Cranfield University (11 p.), 2008

Berger-Walliser, Gerlinde, Baconnet, Marine, Compain, Laure, Gamba, Camille, Kacemi, Ilham, Kalina, Julia and Peynet, Jonathan, *L'encadrement juridique des parachutes dorés en France*, ICN WORKING PAPER, 2008-11, June 2008

Berger-Walliser, Gerlinde and Feinstein, Barry A., Etude comparative des risques juridiques afférents aux activités commerciales prenant place à l'étranger, ICN working paper, n° 2008-06, March 2008

Berger, Gerlinde, *Verstoß der Aufteilung der elterlichen Sorge nach islamischem Recht gegen den ordre public*, Lindenmaier-Möhring, NACHSCHLAGEWERK DES BUNDESGERICHTSHOFES - N° 3, Art. 6 EGBGB 1986, (1993)

#### **ACADEMIC ACTIVITIES**

# **Keynotes and Invited Presentations**

Participant, WorldCC-Northwestern Academic Symposium, Virtual Workshop – Innovation and Technology: Reshaping Contracts, Northwestern Pritzker School of Law, November 12, 2020

Author, *The Future of International Corporate Human Rights Litigation: A Transatlantic Comparison*, ABLJ Invited Scholars Symposium, Academy of Legal Studies in Business, virtual conference, August 2020 (presented by Rachel Chambers)

Presenter, *Critical Infrastructure Protection for the Internet of Persons*, Big Data Law and Ethics Symposium, Babson College, Waltham, MA, April 2018

Discussant, Paper: John W. Bagby, *Thwarting Malicious Assaults on Democracy: A Compelling Role for Big Data Analytics*, BIG DATA LAW AND ETHICS SYMPOSIUM, Babson College, Waltham, MA, April 2018

Presenter, Reconciling Transnational Jurisdiction: A Comparative Approach to Personal Jurisdiction over Foreign Corporate Defendants in U.S. Courts, BIG TEN AND FRIENDS BUSINESS LAW RESEARCH SEMINAR, Smeal College of Business, Pennsylvania State University, College Park, PA, January 2018

Discussant, Paper: Karen E. Woody, *Can Bad Law Do Good: A Retrospective on Conflict Minerals Regulation*, Big Ten and Friends Business Law Research Seminar, Smeal College of Business, Pennsylvania State University, College Park, PA, January 2018

Discussant, Paper: Margaret Hu, *Algorithmic Jim Crow and Extreme Vetting*, Big Data Law and Ethics Symposium, The Wharton School, University of Pennsylvania, PA, April 2017

Discussant, Paper: Jennifer J. Griffin, Looking at the Corporate Governance-Corporate Social Responsibility Interface from a CSR Perspective, CONFERENCE ON PUBLIC REGULATION AND PRIVATE GOVERNANCE: COMPETITORS OR COLLABORATORS?, University of Connecticut, Storrs, CT, April 2016

Keynote Speaker, *From Visualization to Legal Design: A Collaborative and Creative Process*, INTERNATIONAL CONFERENCE ON CONTRACT SIMPLIFICATION, Swiss Re Centre for Global Dialogue, Zurich, Switzerland, March 2016

Presenter, From Visual Law to Legal Design: Images as a Collaborative and Creative Process for Legal Problem-Solving, SYMPOSIUM ON THE PREVENTIVE AND POSITIVE ROLES OF LAW, Ross School of Business, University of Michigan, June 2015

Discussant, Paper: Sarah E. Light, *Climate Change, the Sharing Economy, and the Transformation of Environmental Law,* Symposium on the Preventive and Positive Roles of Law, Ross School of Business, University of Michigan, June 2015

Discussant, Paper: Gil Lan, *The Benefit Corporation: Moving Past the Nexus of Contracts to a Spectrum of Government Facilitation,* UCONN SOCIAL ENTERPRISE AND ENTREPRENEURSHIP CONFERENCE, Storrs, CT, April 2015

Presenter, Beyond Compliance: Business, Sustainable Development and Proactive Law, BIG TEN AND FRIENDS BUSINESS LAW RESEARCH SEMINAR, Indiana University, Indianapolis, IN, November 2013

Presenter, Beyond Compliance: Business, Sustainable Development and Proactive Law, THE IMPACT OF LAW AND REGULATION ON TRANSITIONING TO BUSINESS SUSTAINABILITY, Symposium at Smeal College of Business, Pennsylvania State University, College Park, PA, October 2013

Author, Corporate Social Responsibility and the Global Commons: An Agent-Driven Approach to Global Governance, ABLJ Invited Scholars Symposium, ACADEMY OF LEGAL STUDIES IN BUSINESS, Boston, MA, August 2013 (presented by Stephen K. Park)

Presenter, Developing an Interdisciplinary Research Agenda on Sustainable Development, ICN Business School, UNIVERSITY OF LORRAINE, Nancy, France, July 2013

Author, The Impact of Plain Packaging Regulation on Illicit and Non-Illicit Tobacco Products in the European Union, Symposium - MEDICAL MARKETS: EMERGING ISSUES IN GLOBAL HEALTH, School of Law, University of North Carolina, January 2013 (presented by R. Bird)

Keynote Speaker, *Past and Future of Proactive Law: An Overview of the Development of the Proactive Law Movement*, 2<sup>nd</sup> EUROPEAN PROACTIVE LAW CONFERENCE, Copenhagen, Denmark, December 2011

Presenter, *Promoting Business Success through Proactive Contracting and Visualization*, COPENHAGEN BUSINESS SCHOOL, Copenhagen, Denmark, November 2010

Presenter, *El caso YAHOO! Inc., los límites de la extraterritorialidad de la ley francesa*, Jornada sobre Derecho Informático Delitos cometidos a través de INTERNET, Colegio Público de Abogados de la Capital Federal, ABIERTA INTERAMERICANA UNIVERSITY, Buenos Aires, Argentina, September 2008

Presenter, "Mannesmann" in a Comparative Light - Comparison of the German "Mannesmann" Case and the Delaware "Disney" Case Regarding Legal Aspects of Corporate Governance", School of Business, AARHUS UNIVERSITY, Aarhus, Denmark, November 2007

#### **Conference Presentations:**

Rachel Chambers and Gerlinde Berger-Walliser, *The Future of International Corporate Human Rights Litigation: A Transatlantic Comparison Academy of Legal Studies in Business, virtual conference, August 2020* (presented by R. Chambers)

Berger-Walliser, Gerlinde, *Reforming International Human Rights Litigation against Corporate Defendants after Jesner v. Arab Bank*, University of Pennsylvania Journal of Business Law and University of Maryland's Center for the Study of Business Ethics, Regulation & Crime 2019 Symposium on Harmonization of Business Law, University of Pennsylvania, Philadelphia, PA, January 2019

Berger-Walliser, Gerlinde, Reconciling Transnational Jurisdiction: A Comparative Approach to Personal Jurisdiction over Foreign Corporate Defendants in U.S. Courts, ACADEMY OF LEGAL STUDIES IN BUSINESS, Portland, OR, August 2018 (Finalist Ralph Bunche Best International Paper Award)

Hiller, Janine and Berger-Walliser, Gerlinde, *Securing the "Internet of Persons": Critical Infrastructure Protection*, 6<sup>TH</sup> ANNUAL CONFERENCE ON GOVERNANCE OF EMERGING TECHNOLOGIES: LAW, POLICY, AND ETHICS, Sandra Day O'Connor College of Law, Arizona State University, Phoenix, AZ, May 2018 (presented by J. Hiller)

Berger-Walliser, Gerlinde and Scott, Inara, *Redefining Corporate Social Responsibility in an Era of Globalization and Regulatory Hardening*, ACADEMY OF LEGAL STUDIES IN BUSINESS, Savannah, GA, August 2017 (Finalist Ralph Bunche Best International Paper Award)

Schulzke, Kurt S. and Berger-Walliser, Gerlinde, *Toward a Unified Theory of Materiality in Securities Law*, ACADEMY OF LEGAL STUDIES IN BUSINESS, Savannah, GA, August 2017

Schulzke, Kurt S. and Berger-Walliser, Gerlinde, *Toward a Unified Theory of Materiality in Securities Law*, Society for the Advancement of Socio Economic Studies, Lyon, France, June 2017

Berger-Walliser, Gerlinde and Scott, Inara, *Recreating Corporate Social Responsibility in an Era of Legalization*, PACIFIC NORTH WEST ACADEMY OF LEGAL STUDIES IN BUSINESS ANNUAL CONFERENCE, Vancouver, BC, April 2017 (presented by I. Scott) (First Place Distinguished Paper Award)

Berger-Walliser, Gerlinde and Scott, Inara, *Recreating Corporate Social Responsibility in an Era of Legalization*, NORTH ATLANTIC REGIONAL BUSINESS LAW ASSOCIATION ANNUAL CONFERENCE, Waltham, MA, April 2017

Berger-Walliser, Gerlinde and Scott, Inara, *The Legalization of Corporate Social Responsibility: An International Perspective*, PACIFIC SOUTH WEST ACADEMY OF LEGAL STUDIES IN BUSINESS ANNUAL CONFERENCE, Palm Springs, CA, February 2017 (presented by I. Scott) (First Place Distinguished Paper Award)

Berger-Walliser, Gerlinde and Schulzke, Kurt S., *Towards a Unified Theory of Materiality*, ACADEMY OF LEGAL STUDIES IN BUSINESS, San Juan, PR, August 8-11, 2016

Berger-Walliser, Gerlinde and Shrivastava, Paul, *Using Proactive Legal Strategy for Corporate Environmental Sustainability*, ACADEMY OF LEGAL STUDIES IN BUSINESS, Philadelphia, PA, August 2015

Berger-Walliser, Gerlinde and Thomas D. Barton / Helena Haapio, From Visual Law to Legal Design: Images as a Collaborative and Creative Process for Legal Problem-Solving, ACADEMY OF LEGAL STUDIES IN BUSINESS, Philadelphia, PA, August 2015

Berger-Walliser, Gerlinde and Shrivastava, Paul, *Using Proactive Legal Strategy for Corporate Environmental Sustainability*, NORTH ATLANTIC REGIONAL BUSINESS LAW ASSOCIATION, Lowell, MA, April 2015

Schulzke, Kurt S. and Berger-Walliser, Gerlinde, *Toward a Unified Theory of Materiality:* Reading Federal Securities Law as Civil Code, ACADEMY OF LEGAL STUDIES IN BUSINESS, Seattle, WA, August 2014 (presented by K. Schulzke)

Berger-Walliser, Gerlinde and Shrivastava, Paul, *Proactive Law for Corporate Sustainability*, SOCIETY FOR BUSINESS ETHICS, Philadelphia, PA, August 2014 (presented by P. Shrivastava)

Berger-Walliser, Gerlinde and Shrivastava, Paul, Beyond Compliance: Business, Sustainable Development and Proactive Law, ACADEMY OF LEGAL STUDIES IN BUSINESS, Boston, MA, August 2013

Schulzke, Kurt S., Berger-Walliser, Gerlinde and Marchini, Pier L., *The new IASB-FASB Revenue Recognition Standard: Regulatory Risks and Legal-Ethical Implications*, SOCIETY FOR THE ADVANCEMENT OF SOCIO-ECONOMICS, Milan, Italy, June 2013

Park, Stephen K. and Berger-Walliser, Gerlinde, *Corporate Social Responsibility and the Global Commons*, NORTH ATLANTIC REGIONAL BUSINESS LAW ASSOCIATION, Boston, MA, April 2013

Berger-Walliser, Gerlinde and Bird, Robert, *The Impact of Plain Packaging on Illicit and Non-Illicit Tobacco Products in the European Union*, PACIFIC SOUTH WEST ACADEMY OF LEGAL STUDIES IN BUSINESS ANNUAL CONFERENCE, Palm Springs, CA, February 2013 (presented by R. Bird) (Second Place Distinguished Paper Award)

Hiller, Janine and Berger-Walliser, Gerlinde, *The Status Of The Legal Studies Discipline In The United States: Similarities And Contrasts With French "Grandes Écoles"*, 1<sup>èRES</sup> ASSISES DE L'ENSEIGNEMENT ET DE LA RECHERCHÉ EN DROIT DANS LES GRANDES ÉCOLES, Paris, France, January 2013 (presented by J. Hiller)

Berger-Walliser, Gerlinde and Walliser, Björn, *A Visual Perspective on Proactive Inter-Firm Contracting*, Pacific South West Academy of Legal Studies in Business, Palm Springs, CA, February 2012

Barton, Thomas D., Berger-Walliser, Gerlinde and Haapio, Helena, *Visualization: Seeing Contracts for What They Are and What They Could Become*, IACCM ACADEMIC AND GLOBAL FORUM, Tempe, AZ, October 2011

Schulzke, Kurt S., Berger-Walliser, Gerlinde and Marchini, Pier L., *Lexis Nexus Complexus: Contract Law Implications of the Joint IASB-FASB Revenue Project*, ACADEMY OF LEGAL STUDIES IN BUSINESS, New Orleans, LA, August 2011

Berger-Walliser, Gerlinde, Stalling Williams, Melanie and Walliser, Björn, *Legal Aspects of Ambush Marketing: A Comparative Approach*, ACADEMY OF LEGAL STUDIES IN BUSINESS, New Orleans, LA, August 2011

Berger-Walliser, Gerlinde, Haapio, Helena, Rekola, Katri and Walliser, Björn, *A New Mindset for the Business Organization – How Visualization Can Improve Inter-Firm Contracting*, accepted for presentation, EGOS COLLOQUIUM, Gothenburg, Sweden, July 2011

Walliser, Björn, Berger-Walliser, Gerlinde and Stalling Williams, Melanie, *Le Cadre Juridique de l'Ambush Marketing: Une Approche Comparée*, Colloque sur l'Etat de la recherche En Management du sport, Strasbourg, France, June 2011 (presented by B. Walliser)

Haapio, Helena, Berger-Walliser, Gerlinde and Bird, Robert C., Contract Visualization: A Way to Enhance Cross-Professional Understanding and Collaboration, ASSOCIATION OF LAW TEACHERS, Cardiff, UK, April 2011 (presented by H. Haapio)

Berger-Walliser, Gerlinde, Bird, Robert C. and Haapio, Helena, *Enhancing Cross-Professional Understanding through Contract Visualization*, NORTH EASTERN DECISION SCIENCES CONFERENCE, Montréal, Canada, April 2011

Rekola, Katri, Haapio, Helena and Berger-Walliser, Gerlinde, *Visual Warranty Management: The Project Continues*, Warranty Chain Management Conference, San Diego, CA, March 2011 (presented by K. Rekola)

Berger-Walliser, Gerlinde, Haapio, Helena and Rekola, Katri, *Contracts as Roadmap for Performance: Enhancing Cross-Disciplinary Understanding through Contract Visualization*, 21<sup>ST</sup> ANNUAL NORTH AMERICAN RESEARCH/TEACHING SYMPOSIUM ON PURCHASING AND SUPPLY CHAIN MANAGEMENT, San Diego, CA, March 2011

Berger-Walliser, Gerlinde, Stallings Williams, Melanie and Walliser, Björn, *Legal Aspects of Ambush Marketing: A Comparative Approach*, PACIFIC SOUTH WEST ACADEMY OF LEGAL STUDIES IN BUSINESS, Palm Springs, CA, February 2011 (First place distinguished conference paper award)

Berger-Walliser, Gerlinde, *Enhancing Legal Understanding through Proactive Law and Visualization*, CLARITY 2010, Lisbon, Portugal, October 2010

Berger-Walliser, Gerlinde and Haapio, Helena, *Promoting Business Success through Proactive Contracting and Visualization*, ACADEMY OF LEGAL STUDIES IN BUSINESS, Richmond, Virginia, VA, August 2010

Haapio, Helena, Rekola, Katri and Berger-Walliser, Gerlinde, *Business Innovation through Proactive Contracting: A Conceptual, Interdisciplinary Framework for Service Procurement Practices*, 20<sup>TH</sup> ANNUAL NORTH AMERICAN RESEARCH/TEACHING SYMPOSIUM ON PURCHASING AND SUPPLY CHAIN MANAGEMENT, Tempe, AZ, March 2010 (presented by H. Haapio and K. Rekola)

Berger-Walliser, Gerlinde, "Hermès versus eBay" – A Comparative Analysis of the Current Responsibility Regime for On-line Auction Sites in Germany and France, PACIFIC SOUTH WEST ACADEMY OF LEGAL STUDIES IN BUSINESS, Palm Springs, CA, February 2010

Berger-Walliser, Gerlinde, *International Internet Jurisdiction – the French Perspective*, 10TH INTERNATIONAL DECISION SCIENCES Conference, Nancy, France, June 2009

Berger-Walliser, Gerlinde, *The Legal Framework of Executive Compensation in Contemporary Corporate Governance*, 8<sup>TH</sup> GOVERNANCE INTERNATIONAL CONFERENCE, Florence, Italy, June, 2009

Berger-Walliser, Gerlinde, *The End of "Golden Parachutes" in Europe?*, PACIFIC SOUTH WEST ACADEMY OF LEGAL STUDIES IN BUSINESS, Palm Springs, CA, February 2009

## **Chaired Conference Sessions and Panel Leadership:**

Panel Discussant, Topic: The Anatomy of an ABLJ Manuscript, ACADEMY OF LEGAL STUDIES IN BUSINESS, Portland, OR, August 2018

Panel Discussant, Topic: Law & Strategy - At the Frontier of Teaching and Research Perspectives, ACADEMY OF LEGAL STUDIES IN BUSINESS, Philadelphia, PA, August 2015.

Panel Discussant, Topic: Careers and Opportunities in International Law, Connecticut Alliance for International Law, School of Law, University of Connecticut, Hartford, CT, April 2015.

Panel Leader, Topic: Publishing in Business Ethics Journals, ACADEMY OF LEGAL STUDIES IN BUSINESS, Boston, MA, August 2013.

Panel Leader, Topic: Integrating Law and Contract Management: Proactive, Preventive and Strategic Approaches, IACCM 2013 ACADEMIC FORUM, Phoenix, AZ, October 2013

Panel Leader, Topic: Law and Strategy, ACADEMY OF LEGAL STUDIES IN BUSINESS, New Orleans, LA, August 2011

Panel Leader, Topic: Proactive Law and Strategy, NORTH EASTERN DECISION SCIENCES CONFERENCE, Montréal, Canada, April 2011

Panel leader, Topic: Bridging the Gap between Management and Law, 1<sup>ST</sup> EUROPEAN PROACTIVE LAW SYMPOSIUM, Nancy, France, October 2009

Session Chair,  $10^{\text{TH}}$  International Decision Sciences Conference, Nancy, France, June 2009

#### **Awards and Honors:**

First Place Distinguished Paper Award, PACIFIC NORTH WEST ACADEMY OF LEGAL STUDIES IN BUSINESS ANNUAL CONFERENCE, Vancouver, BC, 2017 (Paper: *Recreating Corporate Social Responsibility in an Era of Legalization*, co-authored with Inara Scott)

First Place Distinguished Paper Award, PACIFIC SOUTH WEST ACADEMY OF LEGAL STUDIES IN BUSINESS, Palm Springs, CA, 2017 (Paper: *Recreating Corporate Social Responsibility in an Era of Legalization*, co-authored with Inara Scott)

Provost's Recognition (UConn), Excellence in Teaching, fall 2014, spring 2015

Second Place Distinguished Paper Award, PACIFIC SOUTH WEST ACADEMY OF LEGAL STUDIES IN BUSINESS, Palm Springs, CA, 2013 (Paper: *The Impact of Plain Packaging on Illicit and Non-Illicit Tobacco Products in the European Union*, co-authored with Robert C. Bird)

Honorable Mention (graduate research category), W. GREGOR MACFARLAN EXCELLENCE IN CONTRACT MANAGEMENT RESEARCH AND WRITING PROGRAM, 2012 (Paper: *Time For a Visual Turn in Contracting*, co-authored with Helena Haapio, Björn Walliser and Katri Rekola)

First Place Distinguished Paper Award, PACIFIC SOUTH WEST ACADEMY OF LEGAL STUDIES IN BUSINESS, PALM SPRINGS, CA, 2011 (Paper: *Legal Aspects of Ambush Marketing: A Comparative Approach*, co-authored with Melanie Stallings Williams and Björn Walliser)

#### **Research Grants:**

University of Connecticut, Human Rights Institute, Small Grant for Research in Human Rights (2020)

University of Connecticut, CIBER Research Grant (2018, 2017, 2014)

University of Connecticut, School of Business Summer Research Grant (2019, 2018, 2017, 2013)

University of Connecticut, CITI Summer Research Grant (2016)

Region of Lorraine, Research grant, "Enhancing cross-professional collaboration through contract visualization" (2011 - 2013)

Region of Lorraine, Research Grant, "Entrepreneurship and regulation: Creating innovative space" (2011 - 2013)

European Union, ERASMUS curriculum development grant, "Proactive Management and Business Law (PAMPAL)," in collaboration with various European Universities piloted by Turku University of Applied Sciences, http://pampal.turkuamk.fi/index.php (2009 - 2011),

TEKES (National Finnish Research Agency), Research grant, "User Experience & Usability in complex Systems (UXUS)," piloted by FIMECC and various Finnish universities (2010 - 2015)

Region of Lorraine, Research grant, "Development of proactive law in the Lorraine region: a pluridisciplinary and innovative approach" (2009 - 2011)

#### PROFESSIONAL ENGAGEMENT

## **Professional Affiliations and Activities:**

ASSOCIATION FRANÇAISE DROIT & MANAGEMENT (French Association for Legal Studies in Business), Founding Member (2012), Executive Committee (2017 - present), International Committee Co-chair (2017 - present)

Law and Management Research Network, Scientific Board (2022 – present)

IRCASE (INTERNATIONAL RESEARCH CHAIR IN ART AND SUSTAINABLE ENTERPRISE), ICN Business School, Member (2012 - 2013)

INTERNATIONAL ASSOCIATION FOR COMMERCIAL AND CONTRACT MANAGEMENT (IACCM), Academic Advisory Board (2011 - present)

PROACTIVE THINK TANK, Steering Committee (2011 - present)

CEREFIGE (EUROPEAN RESEARCH CENTRE ON FINANCE AND BUSINESS ADMINISTRATION), University of Lorraine, Member (2003 – 2012), Associate Member (2012 - present)

Academy for Legal Studies in Business, Member

Academy of Legal Studies in Business, International Section, Member

Academy of Legal Studies in Business, Environmental Law and Sustainability Section, Member

Academy of Legal Studies in Business, Technology Law Section, Member

American Society for Comparative Law, Member

German National Committee for Comparative Law, Member

## **Editorial Service:**

Staff Editor: AMERICAN BUSINESS LAW JOURNAL (2020 – present)

Board of Editors, JOURNAL OF STRATEGIC CONTRACTING AND NEGOTIATION (2015 – present)

Guest Editor, Special Issue on Contracting for Innovation and Innovating Contracts, JOURNAL OF STRATEGIC CONTRACTING AND NEGOTIATION (2016)

Ad Hoc Reviewer: European Development Journal, Regulation & Governance, International Journal of Sustainable Development, Journal of Energy & Natural Resources Law, Journal of Human Rights, Journal of Law, Business and Ethics, Journal of Strategic Contracting and Negotiation

Textbook Reviewer, Tim Cummins, Mark David and Katherine Kawamoto, Contract and Commercial Management, The Operational Guide, Van Haren Publishing (2011)

# **Conference Organization and Evaluation:**

Session Chair, ACADEMY OF LEGAL STUDIES IN BUSINESS, Savannah, GA, 2017

Session Chair, SOCIETY FOR THE ADVANCEMENT OF SOCIO ECONOMIC STUDIES, Lyon, France, 2017

Manuscript Reviewer, 4<sup>èME</sup> CONGRÈS DE L'ASSOCIATION FRANÇAISE DROIT & MANAGEMENT (ex-APDGE), Neoma Business School, Paris, France, 2017

Manuscript Reviewer, International Association for Commercial and Contract Management Academic Forum, San Diego, CA, 2016

Manuscript Reviewer and Scientific Committee Member, 3<sup>ÉME</sup> ASSISES DE L'ENSEIGNEMENT ET DE LA RECHERCHÉ EN DROIT DANS LES GRANDES ÉCOLES, Toulouse, France, 2015

Manuscript Reviewer, International Association for Commercial and Contract Management Academic Forum, Henderson, NV, 2015

Manuscript Judge, Distinguished National Proceedings Award, ACADEMY OF LEGAL STUDIES IN BUSINESS, Philadelphia, 2015

Manuscript Reviewer, International Conference on Governance, Dijon, France, 2014

Conference Co-chair and Reviewer, International Association for Commercial and Contract Management Academic Forum, Phoenix, AZ, 2013

Manuscript Judge, Distinguished National Proceedings Award, ACADEMY OF LEGAL STUDIES IN BUSINESS, Boston, 2013

Reviewer and Scientific Committee Member, 1<sup>èRES</sup> ASSISES DE L'ENSEIGNEMENT ET DE LA RECHERCHE EN DROIT DANS LES GRANDES ECOLES, Paris, France, 2013

Conference Co-chair and Reviewer, 2<sup>ND</sup> EUROPEAN PROACTIVE LAW CONFERENCE, Copenhagen Business School, Copenhagen, Denmark, 2011

Reviewer and Organizing Committee Member, International Association for Commercial and Contract Management, Academic and Global Conference, Tempe, AZ, 2011

Track Co-Chair Business Law, NORTH EASTERN DECISION SCIENCES Conference, Montréal, Canada, 2011

Reviewer, International Conference on Governance, Montréal, Canada, 2011

Reviewer and Scientific Committee Member, International Conference on Governance, Metz (France), 2010

Conference Chair, 1<sup>ST</sup> EUROPEAN PROACTIVE LAW SYMPOSIUM, ICN Business School, Nancy, France, 2009

## **University Service**

EUROBIZ, Program Development (2015 – 2017), Co-Director (2018 – present)

UCONN University Interdisciplinary Course Committee, Alternate (2021 – present)

UCONN School of Business Computing Committee (2020 – present)

UCONN in Germany Committee (2015 – 2016)

Business Law Committee (2014 – present)

International Business Major Curriculum Development Committee (2012 – 2013)

## **TEACHING ACTIVITIES**

## **Additional Academic Positions Held:**

CALIFORNIA STATE UNIVERSITY NORTHRIDGE, College of Business and Economics, Northridge, CA, Visiting Professor, International Business Law (Spring 2010)

ICN BUSINESS SCHOOL, attached to the University of Lorraine, Nancy, France, Adjunct Professor of Business Law (2001 - 2003)

UNIVERSITY OF NANCY, Institute of Business Administration, Nancy, France, Adjunct Professor of Business Law (2001 - 2003)

UNIVERSITY OF STRASBOURG, Faculty of Law, Strasbourg, France, Adjunct Professor of Law (1998-2001)

UNIVERSITY OF STRASBOURG, Institute for Comparative Law, Strasbourg, France, Research Assistant, Professor Hauptmann (1996 – 1997)

UNIVERSITY OF HEIDELBERG, Institute for Foreign, Private International and International Commercial Law, Heidelberg, Germany, Research Assistant, Professor Kronke (1993-1995)

UNIVERSITY OF BIELEFELD, Bielefeld, Germany, Research and Teaching Assistant, Professor Kronke (1992-1993)

UNIVERSITY OF BIELEFELD, Bielefeld, Germany, Student Research Assistant, Professor Kronke (1990-1992)

# **Courses Taught:**

**Undergraduate Courses** 

Legal and Ethical Environment of Business, UConn (in person and online)

International Business Law, California State University Northridge

Introduction to French and Comparative Business Law, ICN

German Law and Legal Terminology, University of Strasbourg (in German)

#### Graduate Courses

International Business Law, UConn and ICN (in English and French)

Business, Law & Ethics in Modern Society, UConn (in person and online)

Global Business Issues, UConn in collaboration with EMLYON (team taught)

Legal Environment of Business, ICN (in English and French)

Proactive Management and Proactive Business Law, ICN (team taught)

Comparative Law, ICN (in French)

Private International Law, ICN (in French)

International Contract Law, University of Nancy (in French)

#### Executive MBA Courses

International Business Law (ICN)

## Other Teaching Experience:

Ph.D. Dissertation Pre-Examiner, Anna Hurmerinta-Haanpää, Topic: The Many Functions of Contracts, University of Turku, Turku, Finland, February 2021

Ph.D. Dissertation Pre-Examiner, Passera, Stefania, Topic: Beyond the Wall of Contract Text. Visualizing Contracts to Foster Understanding and Collaboration Within and Across Organizations, Aalto University, Helsinki, Finland, June 2017

Visiting Professor of International Business Law, ICN BUSINESS SCHOOL, University of Lorraine, Nancy, France, July 2013

Guest Lecturer, Business Law and Commercial Contracting: Proactive, Innovative Approaches, COPENHAGEN BUSINESS SCHOOL, Copenhagen, Denmark, November 2010

Guest Lecturer, *The Current Responsibility Regime for On-line Auction Sites in Germany and France*, CALIFORNIA WESTERN SCHOOL OF LAW, San Diego, CA, March 2010

Guest Lecturer, *Legal and Strategic Aspects of Doing Business in Europe*, Masters of Business Speakers Series, College of Business and Economics, CALIFORNIA STATE UNIVERSITY NORTHRIDGE, Northridge, CA, February 2009

Guest Lecturer, Doing Business in Germany and France, Master Program on Law and Economics, School of Business, AARHUS UNIVERSITY, Aarhus, Denmark, November 2007

# **Professional Experience:**

Rechtsanwältin (Attorney at law), Part-time collaborator with Epp, Gebauer & Kühl, French-German lawyers, Strasbourg/Baden-Baden, France/Germany, admitted to the German Bar (2001 – 2003)

Post-graduate Trainee in the Legal Profession (Referendariat), Oberlandesgericht (Appellate Court) Heidelberg, Germany; including 6-month judicial clerkship, Court of First Instance in Civil Matters; 3-month judicial clerkship, Court of First Instance in Criminal Matters; 3-month internship, District Attorney's office; 3-month internship, Gebauer & Kühl, French-German lawyers, Strasbourg/Baden-Baden, France/Germany (1993 – 1995)

Internship, Streitbörger, Maas, Stange & Gördes, Attorneys, Bielefeld, Germany (Summer 1989)